

# CMS LONG-TERM CARE HOSPITAL QUALITY REPORTING PROGRAM

## LTCH ERROR MESSAGES

All Medicare-participating LTCHs must complete and submit required LTCH CARE Data Set Assessment Records to CMS' Quality Improvement Evaluation System (QIES) Assessment Submission and Processing (ASAP) system for all patients, regardless of payer. After completion of the required assessment(s), each provider must create electronic transmission files that meet the requirements detailed in the current LTCH CARE Data Submission Specifications. Providers must establish communication with the QIES ASAP system to submit a file. This is accomplished by using specialized communications software.

When the submission file is received by the QIES ASAP system, the system performs a series of validation edits to evaluate whether the data submitted meets the required data specifications. LTCH CARE Data Set Assessment Records are edited to verify that responses are within valid ranges and are consistent, dates are reasonable, and the submitted record is in the proper order with regard to records that were previously accepted by the QIES ASAP system for the same patient.

Providers will receive a confirmation message that includes the following notation:

*"Your submission file will be processed for errors within 24 hours. The Final Validation Report, which contains detailed information about your submission, may be accessed in the CASPER Reporting application. It is recommended that you print and retain the Final Validation Reports."*

The confirmation message only indicates successful receipt of the file at the National Submissions Database. Errors that exist in the submitted file are identified only when the QIES ASAP system subsequently validates the file.

Within 24 hours of the successful submission of a file, the QIES ASAP system processes the file and automatically produces an LTCH Provider Final Validation Report detailing the errors, if any, that were encountered in the submitted records. This LTCH Provider Final Validation Report is available to you in the CASPER Reporting application.

Processing errors range in severity from ones that render the file unable to be processed, to ones that prevent a specific record from being processed, to others that are simply warnings or informational. The processing of a file or record ceases immediately if any of the following errors are encountered:

- Invalid Zip file format
- Empty Zip file
- Invalid XML file format

- Provider authorization conflicts (user doesn't have authority to submit for provider in record; Facility ID is null or an invalid value)
- Missing or invalid Type of Record
- Missing or invalid State Code
- Missing or invalid ISC
- Missing or invalid date (Admission or Discharge) used to determine the target date for the record.
- Duplicate record
- No matching record – If the system cannot locate the active record to be corrected or inactivated, an error occurs indicating that the correction or inactivation record does not match any record on the QIES ASAP National Submissions Database.
- Record submitted with a target date prior to the implementation date (10/01/2012) of LTCH CARE Data Set processing by the QIES ASAP system.
- Submitted correction or inactivation record whose correction number is not the next incremented number from the current record in the QIES ASAP National Submissions Database.

Many other conditions exist that prevent a record from being considered a successful submission. The LTCH Provider Final Validation Report identifies the errors, whether fatal or simply warning, that were encountered in the submitted records. Each error is noted on the report by its numeric identifier and severity (FATAL or WARNING). The report also includes a brief description of the error and notes the submitted item values that triggered the error.

**All fatal errors in a file or record must be corrected and the file or record resubmitted.**

## Top 10 Fatal Errors

LTCH_ERR_MSG_NUM	ERR_CNT	ERR_MSG_DESC
<b>-907</b>	8,004	Duplicate Assessment: The submitted record is a duplicate of a previously submitted record.
<b>-902</b>	278	Invalid XML File: The submitted file does not have a valid XML file name extension.
<b>-3745</b>	140	No Match Found: This modification/inactivation record does not match a previously accepted record in the QIES ASAP System. One or more of the items submitted for this record did not match the corresponding items of an existing record in the database.
<b>-1033</b>	133	Unauthorized Submitter: The submitter's User ID is not authorized to submit data on behalf of the provider identified by the FAC_ID in this file.

LTCH_ERR_MSG_NUM	ERR_CNT	ERR_MSG_DESC
<b>-1019</b>	125	Invalid Format: Only numeric characters 0 - 9, letters A - Z, letters a - z, at sign (@), single quote ('), forward slash (/), plus sign (+), comma (,), period (.), and underscore (_) are valid for this item.
<b>-1021</b>	120	Invalid FAC_ID: The FAC_ID submitted in this file does not identify a valid provider in the QIES ASAP System.
<b>-3548</b>	104	Invalid Skip Pattern: If M0300B1 is equal to 1 - 9, then items from M0300B2 through M0300B3 must not equal blank (^).
<b>-903</b>	102	Required Item Missing or Invalid: Based on the LTCH CARE Data Specifications in effect on the target date of this record, This item is required.
<b>-1009</b>	99	Invalid Value: The value submitted for this item is not an acceptable value.
<b>-1025</b>	76	Inconsistent A0055: The Correction Number submitted in A0055 is not incremented by one (1) from the previously submitted Correction Number for this record.